

Table 2 **List of Securities Companies**

No.	Name	Foreign Investment		Branch in Hong Kong SAR
		Foreign Shareholders	Shareholding Ratio	
1	Aijian Securities Co., Ltd.			No
2	Essence Securities Co., Ltd.			Yes
3	Essence Asset Management Co., Ltd.			No
4	Beijing Gao Hua Securities Co., Ltd.			No
5	Bohai Securities Co., Ltd.			No
6	Caida Securities Co., Ltd.			No
7	Chasing Securities Co., Ltd.			No
8	Caitong Securities Co., Ltd.			Yes
9	Caitong Asset Management Co., Ltd.			No
10	Great Wall Glory Securities Co., Ltd.			No
11	China Great Wall Securities Co., Ltd.			No
12	Changjiang Securities Asset Management (Shanghai) Co., Ltd.			No
13	Changjiang Financing Services Co., Ltd.			No
14	Changjiang Securities Co., Ltd.			Yes
15	N-SECURITIES CO., LTD.			No
16	China Chuancai Securities Co., Ltd.			No
17	DATON Securities Co., Ltd.			No
18	Datong Securities Brokerage Co., Ltd.			No
19	Tebon Securities Co., Ltd.			No
20	First Capital Investment Banking Co., Ltd.			No
21	First Capital Securities Co., Ltd.			No
22	Northeast Securities Co., Ltd.			No
23	Orient Securities Investment Banking Co., Ltd.			No
24	Orient Securities Co., Ltd.			Yes
25	Donghai Securities Co., Ltd.			Yes

Continued

No.	Name	Foreign Investment		Branch in Hong Kong SAR
		Foreign Shareholders	Shareholding Ratio	
26	Dongguan Securities Co., Ltd.			No
27	Soochow Securities Co., Ltd.			Yes
28	Dongxing Securities Co., Ltd.			Yes
29	Founder Securities Co., Ltd.			Yes
30	Goldman Sachs Gao Hua Securities Co., Ltd.	The Goldman Sachs Group, Inc.	51.00%	No
31	Everbright Securities Co., Ltd.	China Everbright Ltd	20.83%	Yes
32	GF Securities Co., Ltd.			Yes
33	GF Asset Management (Guangdong) Co., Ltd.			No
34	China Securities South China Co., Ltd.			No
35	Guodu Securities Co., Ltd.			Yes
36	SEALAND Securities Co., Ltd.			No
37	Sinolink Securities Co., Ltd.			Yes
38	China Development Bank Securities Co., Ltd.			No
39	Guolian Securities Co., Ltd.			Yes
40	Golden Sun Securities Co., Ltd.			No
41	Guotai Junan Securities Co., Ltd.			Yes
42	Guosen Securities Co., Ltd.			Yes
43	Guoyuan Securities Co., Ltd.			Yes
44	ZTF Securities Co., Ltd.			No
45	Haitong Securities Co., Ltd.			Yes
46	Hengtaichangcai Securities Co., Ltd.			No
47	Hengtai Securities Co., Ltd.			No
48	Hongta Securities Co., Ltd.			No
49	Hongxin Securities Co., Ltd.			No
50	Huaan Securities Co., Ltd.			No
51	Hwabao Securities Co., Ltd.			No
52	Huachuang Securities Co., Ltd.			No
53	Huafu Securities Co., Ltd.			Yes
54	Huajing Securities Co., Ltd.			No

Continued

No.	Name	Foreign Investment		Branch in Hong Kong SAR
		Foreign Shareholders	Shareholding Ratio	
55	Chinalion Securities Co., Ltd.			No
56	China Dragon Securities Co., Ltd.			No
57	Huarong Securities Co., Ltd.			No
58	Huatai United Securities Co., Ltd.			Yes
59	Huatai Securities Asset Management (Shanghai) Co., Ltd.			No
60	Huatai Securities Co., Ltd.			No
61	Huaxi Securities Co., Ltd.			No
62	China Fortune Securities Co., Ltd.			No
63	Hua Ying Securities Co., Ltd.			No
64	Jianghai Securities Co., Ltd.			No
65	CITIC-Kington Securities Co., Ltd.			No
66	Goldstate Securities Co., Ltd.			No
67	Jiuzhou Securities Co., Ltd.			No
68	Kaiyuan Securities Co., Ltd.			No
69	Yuekai Securities Co., Ltd.			No
70	Mingsheng Securities Co., Ltd.			No
71	Morgan Stanley Huaxin Securities Co., Ltd.	Morgan Stanley Asia Limited	51.00%	No
72	Nanjing Securities Co., Ltd.			No
73	Ping An Securities Co., Ltd. (PASC)			Yes
74	Zhongtai Securities (Shanghai) Asset Management Co., Ltd.			No
75	Zhongtai Securities Co., Ltd.			Yes
76	Guorong Securities Co., Ltd.			No
77	Credit Suisse Founder Securities Limited	Credit Suisse AG	51.00%	No
78	UBS Securities Co., Ltd.	UBS AG	51.00%	No
79	Shanxi Securities Co., Ltd.			Yes
80	Orient Securities Asset Management Co., Ltd.			No
81	Everbright Securities Asset Management Co., Ltd.			No

Continued

No.	Name	Foreign Investment		Branch in Hong Kong SAR
		Foreign Shareholders	Shareholding Ratio	
82	Shanghai Guotai Junan Security Asset Management Co., Ltd.			No
83	Shanghai Haitong Securities Asset Management Co., Ltd.			No
84	Shanghai Securities Co., Ltd.			No
85	Shenwan Hongyuan Western Securities Co., Ltd.			No
86	Shenwan Hongyuan Financing Services Co., Ltd.			No
87	Shenwan Hongyuan Securities Co., Ltd.			Yes
88	Century Securities Co., Ltd.			No
89	Capital Securities Co., Ltd.			No
90	Pacific Securities Co.,			No
91	Tianfeng Securities Co., Ltd.			Yes
92	Wanhe Securities Brokerage Co., Ltd.			No
93	Wanlian Securities Co., Ltd.			No
94	Minmetals Securities Co., Ltd.			No
95	Western Securities Co., Ltd.			No
96	Eastmoney Securities Co., Ltd.			No
97	Southwest Securities Co., Ltd.			Yes
98	Xiangcai Securities Co., Ltd.			No
99	New Times Securities Co., Ltd.			No
100	Cinda Securities. Co., Ltd.			No
101	Industrial Securities Co., Ltd.			Yes
102	Xingzheng Securities Asset Management Co., Ltd.			No
103	China Galaxy Jinhui Asset Management Co., Ltd.			No
104	Yintai Securities Co., Ltd.			No
105	Yingda Securities Co., Ltd.			No
106	China Merchants Securities Co., Ltd.			No
107	CMS Asset Management (HK) Co., Limited			Yes
108	Zhejiang Zheshang Securities Asset Management Co., Ltd.			No

Continued

No.	Name	Foreign Investment		Branch in Hong Kong SAR
		Foreign Shareholders	Shareholding Ratio	
109	Zheshang Securities Co., Ltd.			No
110	Zhong De Securities Co., Ltd.	Deutsche Bank AG	33.30%	No
111	China International Capital Corporation Ltd	public shareholders , Tencent Mobility Limited, Des Voeux Investment Company Limited	39.44%	Yes
112	Founder Securities Financing Services Co., Ltd..			No
113	China Galaxy Securities Co., Ltd.			Yes
114	China International Capital Wealth Management Securities Co., Ltd.			Yes
115	AVIC Securities Co., Ltd.			No
116	Zhongshan Securities Co., Ltd.			No
117	Zhongtian Securities Co., Ltd.			No
118	China Securities Co., Ltd.			Yes
119	CITIC Securities (Shandong) Co., Ltd.			No
120	CITIC Securities Co., Ltd.			Yes
121	BOC International (China) Ltd.	BOC International Holdings	33.42%	No
122	China Post Securities Co., Ltd.			No
123	Central China Securities Co., Ltd.			Yes
124	LC Securities Co., Ltd.			No
125	Guosheng Securities Asset Management Co., Ltd.			No
126	Dongzheng Ronghui Securities Asset Management Co., Ltd.			No
127	Bohai Huijin Securities Asset Management Co., Ltd.			No
128	Shengang Securities Co., Ltd.	Mason Group Holdings Ltd., Freeman Securities Ltd., JT Capital Management Ltd.	29.32%	No
129	CR Securities Co., Ltd.	China Renaissance Broking Services (Hong Kong) Ltd.	48.83%	No
130	HSBC Qianhai Securities Co., Ltd.	HSBC Co., Ltd.	51.00%	No
131	BEA Qianhai Securities Co., Ltd.	HK BEA Co., Ltd.	49.00%	No

Continued

No.	Name	Foreign Investment		Branch in Hong Kong SAR
		Foreign Shareholders	Shareholding Ratio	
132	Nomura Orient International Securities Co., Ltd.	Nomura Holdings	51.00%	No
133	J.P. Morgan Securities (China) Co., Ltd	J.P. Morgan International Finance Limited	51.00%	No
134	Jinyuan President Securities Co., Ltd.	President Securities Corporation	49.00%	No
135	Yongxing Securities Co., Ltd.			No
136	Yongzheng Asset Management Co., Ltd.			No
137	Tianfeng (Shanghai) Securities Asset Management Co., Ltd .			No
138	Topsperity Securities Co., Ltd.			No